

Commission and Fee Schedule[†]

Retirement Account Annual Maintenance fee	\$35.00	Special Stock registration/Gifting, per certificate	\$65.00
Online Equity Trades*	listed below	Transfer and Ship, per certificate	\$65.00
Annual Custodial Fee**	\$20.00	Late payment extension	\$25.00
Brokerage Assisted Equity Trades	see reverse	Returned Check	\$15.00
Postage & Handling	\$3.00	Legal Transfer/Estate processing fee, per position	\$36.00
No-load Mutual Funds	\$50.00	Full account transfer	\$75.00
Wire Transfer	\$15.00	IRA/Retirement Account termination fee	\$75.00
Stop Pay	\$15.00		

[†]These fees are subject to change at any time.

*Online Equity Trades: Auto market orders up to 4,999 shares - \$19.95; 5,000 shares and above \$19.95 + 1 cent per share.

**Accounts that have positions but have not executed any trades during a 12 month period.



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Equity Trade Commission Schedule

STOCK PRICE	100 SHARES	200 SHARES	300 SHARES	400 SHARES	500 SHARES	600 SHARES	700 SHARES	800 SHARES	900 SHARES	1000 SHARES	* \$5.00 per each additional 100 shares
\$0.01 thru \$10.00	\$35.00	\$50.00	\$60.00	\$70.00	\$75.00	\$80.00	\$85.00	\$90.00	\$95.00	\$100.00	
\$10.01 thru \$20.00	\$40.00	\$60.00	\$70.00	\$80.00	\$90.00	\$100.00	\$110.00	\$115.00	\$120.00	\$125.00	
Over \$20.00	\$50.00	\$70.00	\$80.00	\$90.00	\$100.00	\$110.00	\$120.00	\$130.00	\$140.00	\$150.00	

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