

# Commission and Fee Schedule<sup>†</sup>

Retirement Account Annual Maintenance fee . . . . .	\$35.00
Online Equity Trades* . . . . .	listed below
*Online Equity Trades: Auto market orders up to 4,999 shares - \$19.95; 5,000 shares and above \$19.95 + 1 cent per share.	
Annual Custodial Fee** . . . . .	\$25.00
**Accounts that have positions but have not executed any trades during a 12 month period (from July 1 - June 30).	
Brokerage Assisted Equity Trades . . . . .	see reverse
Postage & Handling . . . . .	\$3.00
No-load Mutual Funds . . . . .	\$50.00
Wire Transfer . . . . .	\$15.00
Stop Pay . . . . .	\$15.00
Safe Keeping - per certificate . . . . .	\$5.00 per month
Special Stock registration/Gifting, per certificate . . . . .	\$65.00

Transfer and Ship, (DRS eligible) . . . . .	\$10.00
Transfer and Ship, (non-DRS eligible) . . . . .	\$220.00
Late payment extension . . . . .	\$25.00
Returned Check . . . . .	\$15.00
Legal Transfer/Estate processing fee, per position . . . . .	\$90.00
Full account transfer . . . . .	\$75.00
IRA/Retirement Account termination fee . . . . .	\$75.00

<sup>†</sup>These fees are subject to change at any time.



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# Equity Trade Commission Schedule

STOCK PRICE	100 SHARES	200 SHARES	300 SHARES	400 SHARES	500 SHARES	600 SHARES	700 SHARES	800 SHARES	900 SHARES	1000 SHARES	* \$5.00 per each additional 100 shares
<b>\$0.01 thru \$10.00</b>	\$35.00	\$50.00	\$60.00	\$70.00	\$75.00	\$80.00	\$85.00	\$90.00	\$95.00	\$100.00	
<b>\$10.01 thru \$20.00</b>	\$40.00	\$60.00	\$70.00	\$80.00	\$90.00	\$100.00	\$110.00	\$115.00	\$120.00	\$125.00	
<b>Over \$20.00</b>	\$50.00	\$70.00	\$80.00	\$90.00	\$100.00	\$110.00	\$120.00	\$130.00	\$140.00	\$150.00	

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